



A Tanács jogalkotási eljárásának átláthatóságáról szóló OI/2/2017/TE stratégiai vizsgálatra vonatkozó határozata

Határozat

Ügy OI/2/2017/TE - **Vizsgálat megindítása** 10/03/2017 - **Ajánlás** 17/05/2018 - **Külön jelentés** 16/05/2018 - **Határozat** 15/05/2018 - **Érintett intézmények** <p>Európai Unió Tanácsa</p> (Külön jelentést követően lezárt ügyek) | <p>Európai Unió Tanácsa</p> (Feltárt hivatali visszasság) |

A stratégiai vizsgálat az Európai Unió Tanácsa (a továbbiakban: Tanács) előkészítő szervei jogszabálytervezetekkel kapcsolatos vitáinak az átláthatóságát érintette.

A jogalkotási tanácskozásnak kellően átláthatónak kell lennie ahhoz, hogy az európai polgárok megfelelően gyakorolhassák az uniós döntéshozatali eljárásban való demokratikus részvételi jogukat és az abban részt vevő személyek megfelelően elszámoltathatóak legyenek.

A jogszabályoknak a 28 tagállam kormánya általi hivatalos elfogadása során a Tanácsban az ülések és a jogalkotással kapcsolatos viták nyilvánosak. Azt megelőzően azonban, hogy a Tanács kialakítaná hivatalos álláspontját, a megbeszélések több mint 150 előkészítő szervben zajlanak. Ezen a szinten történik a jogszabálytervezetek módosítási javaslatainak beterjesztése és a tagállamok közti kompromisszumok keresése.

Az előkészítő szervek ülései azonban nem nyilvánosak. A polgárok a jogalkotási viták nyomán követésére irányuló demokratikus jogukat kizárólag az e megbeszélések jegyzőkönyveibe való betekintésen keresztül gyakorolhatják. Ehhez arra van szükség, hogy az előkészítő szervek által folytatott jogalkotási vitákat megfelelően rögzítsék, és hogy a releváns dokumentumokhoz való megfelelő időben hozzá lehessen férni.

Mindezek alapján az ombudsman 2017 márciusában stratégiai vizsgálatot indított. Az ombudsman konkrét kérdéseket tett fel a Tanácsnak, nyilvános konzultációt indított és megvizsgálta a Tanács jogalkotási dokumentumait.

Az ombudsman megállapította, hogy a Tanács jelenlegi gyakorlati korlátai hivatali visszasságnak minősülnek. Az ombudsman különösen azt bírálta, hogy a Tanács elmulasztotta az előkészítő szervezetekben állást foglaltó tagállamok szisztematikus azonosítását, valamint kifogásolta azt a széles körben elterjedt gyakorlatot, miszt érint a Tanács a döntéshozatal folyamat során korlátozta a jogalkotási dokumentumokhoz való hozzáférést (az úgynevezett „LIMITE” jelölés).



2018. február 9-én az ombudsman három konkrét ajánlást és számos javaslatot nyújtott be a Tanácsnak arra vonatkozóan, hogyan javíthat jogalkotási eljárásának átláthatóságán.

A Tanács nem válaszolt az ombudsman ajánlásaira a jogszabályban előírt három hónapos határidőn belül. Az ombudsman ezért lezárta az ügyet, megerősítve megállapításait, ajánlásait és a javításra vonatkozó tanácsait. A következő lépés az Európai Parlamentnek szóló külön jelentés lesz.

Background

- 1.** The Council of the European Union (the Council) is comprised of the governments of the EU Member States. Together with the European Parliament [1], the Council adopts EU legislation. Before the ministers from the Member States reach a formal position on draft legislation at Council meetings, preparatory discussions take place in the Council's Committee of Permanent Representatives ('Coreper') [2] and in the over 150 Council preparatory bodies attended by national civil servants, including so-called 'working parties' [3]. In many cases, these preparatory bodies have a decisive influence on the final legislative text. The discussions in all these preparatory bodies are therefore a crucial part of the EU legislative process.
- 2.** Ensuring that citizens are able to follow the progress of legislation is a legal requirement. Under the EU Treaties, every citizen has "*the right to participate in the democratic life of the Union*" and EU decisions must be taken "*as openly and as closely as possible to the citizen*" [4]. The Treaties specifically require that the Council meets in public "*when considering and voting on a draft legislative act*" [5].
- 3.** The importance of legislative transparency is also anchored in the EU's rules on public access to documents [6]. These rules state that "*legislative documents*" must be directly accessible to the public to the widest possible extent [7].
- 4.** In the context of concerns about a perceived lack of accountability of, and consequent lack of opportunity for, citizens to participate in the legislative activities of the Council, the Ombudsman decided to inquire into the matter on her own initiative via a 'strategic inquiry'. The strategic inquiry
- 5.** The inquiry focused on the transparency of legislative discussions in Council preparatory bodies. In particular, it concerned how the General Secretariat of the Council (the 'Secretariat') administratively supports the legislative process in recording discussions that take place between Member States in preparatory bodies and by registering, managing and publishing the related documents.
- 6.** On 10 March 2017, the Ombudsman put 14 questions to the Council [8], to which the Council replied on 26 July 2017 [9].
- 7.** The Ombudsman then launched a public consultation inviting members of the public, civil



society, academics and national parliaments to put forward their views on the issues raised. All those who made contributions expressed concerns, to varying degrees, about the accountability and transparency of legislative discussions in the various Council preparatory bodies [10] .

8. On 23 January 2018, the Ombudsman's inquiry team inspected [11] files from Council on three legislative proposals that were finalised in 2016: the Data Protection Regulation [12] , the Decision on tackling undeclared work [13] and the Directive on the accessibility of websites and mobile applications of public sector bodies [14] . The inspection aimed to give the Ombudsman an insight into how the Secretariat produces, distributes, registers and publishes documents tabled at meetings of Council preparatory bodies.

9. Following a detailed analysis of the feedback received during the public consultation, the results of the inspection and the views put forward by the Council, the Ombudsman found that the Council's current practices constitute maladministration.

10. On 9 February 2018, the Ombudsman made three specific recommendations to the Council on how it could increase the transparency of its legislative process. She also asked the Council to reply to a set of suggestions for improvement [15] .

11. In line with the EU Treaties [16] and the European Ombudsman's Statute [17] , the Ombudsman granted the Council a period of three months to provide a detailed opinion on her recommendations and suggestions.

12. To the Ombudsman's disappointment, the Council did not reply to her recommendations and suggestions within the legally-prescribed timeframe, which elapsed on 9 May 2018. In view of the importance of the issue of legislative transparency, the Ombudsman decided not to grant the Council any extensions beyond this deadline.

The Ombudsman's recommendations

13. The starting point of the Ombudsman's assessment was the importance of transparency for the democratic legitimacy of EU legislation and the EU. Since the Council's preparatory bodies do not meet in public, citizens can exercise their democratic right to follow legislative discussions only by accessing records of these discussions.

14. For this to be possible, legislative discussions in the preparatory bodies must be documented; where Member States take positions in preparatory bodies, this must be recorded; and timely access to legislative documents must be easily available.

15. The Ombudsman found that the Council's failure systematically to record the identity of Member States when they express positions in discussions within preparatory bodies [18] constitutes maladministration. She also found that the Council's current practice of designating most legislative documents, while decision-making is ongoing, as 'LIMITE' [19] , thus restricting public access, constitutes maladministration.



16. The Ombudsman therefore **recommended** that the Council should:

1. Systematically record the identity of Member State governments when they express positions in Council preparatory bodies.

2. Develop clear and publicly-available criteria for how it designates documents as 'LIMITE', in line with EU law.

3. Systematically review the 'LIMITE' status of documents at an early stage, *before* the final adoption of a legislative act, including before informal negotiations in 'trilogues', at which point the Council will have reached an initial position on the proposal.

17. In addition, the Ombudsman made a number of suggestions to the Council on how to improve the transparency of its legislative process, with a view to enhancing the consistency of documentation generated within its preparatory bodies [20] and the accessibility of that documentation via the Council's website and public register [21] .

18. The Ombudsman **suggested** that the Council should:

1. Conduct a review of how it meets its legal obligation to make legislative documents directly-accessible. This review should be concluded within 12 months of the date of this Recommendation and should lead to the adoption of appropriate new arrangements within a further 12 months.

2. Adopt guidelines concerning the types of documents that should be produced by preparatory bodies the context of legislative procedures and the information to be included in those documents.

3. Update the Council's rules of procedure to reflect the current practice of disclosing legislative documents containing Member States' positions, as outlined by the 2016 Dutch Presidency of the Council.

4. List all types of documents in its public register, irrespective of their format and whether they are fully or partially accessible or not accessible at all.

5. Improve the user-friendliness and 'searchability' of the public register of documents.

6. Develop a dedicated and up-to-date webpage for each legislative proposal, following the example of the European Parliament's Legislative Observatory.

Conclusion

The Ombudsman closes this strategic inquiry with the following conclusion:

The Ombudsman confirms her findings of maladministration, her recommendations and her suggestions to the Council, as detailed in her Recommendation dated 9 February 2018.



The Ombudsman will make a Special Report to the European Parliament.

The Council will be informed of this decision .

Emily O'Reilly

European Ombudsman

Strasbourg, 15/05/2018

[1] Under the ordinary legislative procedure, Article 294 of the Treaty on the Functioning of the EU (TFEU).

[2] The 'Committee of the Permanent Representatives of the Governments of the Member States to the European Union' is made up of Permanent Representatives (Coreper II) or Deputy Permanent Representatives (Coreper I) of the 28 Member States.

[3] The list of preparatory bodies is available at:
<http://www.consilium.europa.eu/en/council-eu/preparatory-bodies/>

[4] Articles 1 and 10(3) of the Treaty on European Union (TEU).

[5] Article 15(2) of the TFEU.

[6] Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding public access to European Parliament, Council and Commission documents, OJ 2001 L 145, p. 43 (Regulation 1049/2001).

[7] Recital 6 and Article 12(2) of Regulation 1049/2001.

[8] The Ombudsman's opening letter can be found here:
<https://www.ombudsman.europa.eu/en/cases/correspondence.faces/en/76929/html.bookmark>

[9] The Council's reply can be found here:
<https://www.ombudsman.europa.eu/en/cases/correspondence.faces/en/83029/html.bookmark>

[10] The Ombudsman received 22 submissions to the public consultation, which can be found here:
<https://www.ombudsman.europa.eu/en/cases/case.faces/en/49461/html.bookmark>

[11] The Ombudsman's inspection report can be found here:



<https://www.ombudsman.europa.eu/en/cases/correspondence.faces/en/89637/html.bookmark>

[12] Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data.

[13] Decision (EU) 2016/344 of the European Parliament and of the Council of 9 March 2016 on establishing a European Platform to enhance cooperation in tackling undeclared work.

[14] Directive (EU) 2016/2102 of the European Parliament and of the Council of 26 October 2016 on the accessibility of the websites and mobile applications of public sector bodies.

[15] The Recommendation can be found here:

<https://www.ombudsman.europa.eu/en/cases/recommendation.faces/en/89518/html.bookmark>

[16] Article 228 of the TFEU.

[17] Decision of the European Parliament on the regulations and general conditions governing the performance of the Ombudsman's duties, Article 3(6).

[18] See paragraphs 14-21 of the Recommendation.

[19] See paragraphs 28-35 of the Recommendation.

[20] See paragraph 13 and Annex I of the Recommendation.

[21] See paragraphs 23-27 and Annex 2 of the Recommendation.